The Effects of Single-Player Coalitions on Reward Divisions in Cooperative Games


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## This Talk

Research question: how do people pick fair reward divisions when acting as impartial decision makers?

Explore how values of single-player coalitions affect these divisions

Show that rewards are often unrelated to Shapley value: people break null player and additivity axioms

## Cooperative Games

A transferable utility game describes how a group of players can earn rewards by working together in coalitions

| Players | Reward |
| :--- | :--- |
| (nobody) | 0 |
| Alice | 30 |
| Bob | 10 |
| Charlie | 0 |
| Alice, Bob | 60 |
| Alice, Charlie | 30 |
| Bob, Charlie | 10 |
| Alice, Bob, Charlie | 60 |

How to fairly divide the reward among them?

## The Shapley Value

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- Consider all possible orders of players joining the group
- Give players their average marginal contribution over these orders


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Unique reward division satisfying 4 fairness axioms

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2. Symmetry: players with same marginal contributions to all coalitions get same reward

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2. Symmetry: players with same marginal contributions to all coalitions get same reward
3. Null Players: players with no marginal contribution to any coalition get no reward
4. Additivity: for all games $f$ and $g, \operatorname{Sh}(f+g)=\operatorname{Sh}(f)+\operatorname{Sh}(g)$

## Alternative Values

Are these axioms fair?

Alternative values:

- Solidarity value [Nowak and Radzik 1994]
- Egalitarian Shapley values [Joosten 1996, Casajus and Huettner 2013]
- Procedural values [Malawski 2013, Radzik and Driessen 2013]

All three weaken null player axiom

## Empirical Studies

Prior work: empirical studies of cooperative games
Most focus on bargaining [Kalisch et al. 1954, Kahan and Rapoport 1984, Maschler 1992]
Impartial decisions about reward divisions [De Clippel et al. 2013]

- Rewards are convex combinations of equal split and Shapley value
- Rewards satisfy efficiency, symmetry, and additivity, but not null player
- Limitation: only studies zero-normalized games


## Experiments

Question: How do single-player coalitions affect people's impartial reward divisions?
Answer this question through two experiments

- Experiment 1: Do people put more weight on 1- or 2-player coalitions' values?
- Experiment 2: How do people reason about 1-player coalitions?


## Experiment Interface

Experiment: divide rewards in fictional scenario

| Players | Gold Pieces |
| :---: | :---: |
| (nobody) | 0 |
| Alice | 30 |
| Bob | 20 |
| Charlie | 10 |
| Alice, Bob | 50 |
| Alice, Charlie | 40 |
| Bob, Charlie | 30 |
| Alice, Bob, Charlie | 60 |

All three of them go on the quest together and earn $\mathbf{6 0}$ gold pieces as a group.
How should they divide the gold?


## Procedure

Within-subjects experiments

- Participants selected rewards for 11 or 17 games
- Hired 100 workers from Mechanical Turk for each experiment

Filtered out low-quality workers

- Spending under 5 seconds on any screen
- Submitting blatantly non-sensical answers


## Experiment 1

Experiment 1: designed games to emphasize values of 1- or 2-player coalitions

|  | Game |  |  |  |  |  |  |  | Shapley value |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Condition | $\emptyset$ | 1 | 2 | 3 | 12 | 13 | 23 | 123 | 1 | 2 | 3 |

## Experiment 1

Experiment 1: designed games to emphasize values of 1- or 2-player coalitions

- Choose target Shapley value

| Condition | Game |  |  |  |  |  |  |  | Shapley value |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
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|  |  |  |  |  |  |  |  |  | 25 | 25 | 10 |

## Experiment 1

Experiment 1: designed games to emphasize values of 1- or 2-player coalitions

- Choose target Shapley value
- Design game where only 1-player values differ

| Condition | Game |  |  |  |  |  |  |  | Shapley value |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\emptyset$ | 1 | 2 | 3 | 12 | 13 | 23 | 123 | 1 | 2 | 3 |
| Solo | 0 | 40 | 40 | 10 | 60 | 60 | 60 | 60 | 25 | 25 | 10 |

## Experiment 1

Experiment 1: designed games to emphasize values of 1- or 2-player coalitions

- Choose target Shapley value
- Design game where only 1-player values differ
- Design game where only 2-player values differ

| Condition | Game |  |  |  |  |  |  |  | Shapley value |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\emptyset$ | 1 | 2 | 3 | 12 | 13 | 23 | 123 | 1 | 2 | 3 |
| Solo | 0 | 40 | 40 | 10 | 60 | 60 | 60 | 60 | 25 | 25 | 10 |
| Pair | 0 | 0 | 0 | 0 | 45 | 15 | 15 | 60 |  |  |  |

## Experiment 1

Shapley value $=[25,25,10](1$-Worse $)$


## Experiment 1

Shapley value $=[30,15,15]$ (1-BETTER)


## Experiment 1

Shapley value $=[30,20,10]($ Distinct $)$


## Experiment 2

Experiment 1: 1-player coalition values have larger effect on people's reward divisions
Goal of Experiment 2: understand how people reason about these values

Focus on three features:

- 1-player values not a multiple of the Shapley value
- Varying sum of 1-player values
- Games with null players


## Experiment 2

Shapley value $=[25,25,10]$, with 1 -player values $[20,5,5]$ :

| Game |  |  |  |  |  |  |  | Shapley value |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\emptyset$ | 1 | 2 | 3 | 12 | 13 | 23 | 123 | 1 | 2 | 3 |
| 0 | 20 | 5 | 5 | 60 | 30 | 45 | 60 | 25 | 25 | 10 |



## Experiment 2

Shapley value $=[25,25,10]$, with 1 -player values summing to 30,45 , or 60 :

| Sum | Game |  |  |  |  |  |  |  | Shapley value |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\emptyset$ | 1 | 2 | 3 | 12 | 13 | 23 | 123 | 1 | 2 | 3 |
| 30 | 0 | 20 | 5 | 5 | 60 | 30 | 45 | 60 | 25 | 25 | 10 |
| 45 |  | 25 | 10 | 10 |  |  |  |  |  |  |  |
| 60 |  | 30 | 15 | 15 |  |  |  |  |  |  |  |



## Experiment 2

Shapley value $=[40,20,0]$, with player 3 null


## Testing Axioms

Experiment 2: reward divisions are quite consistent, but unrelated to the Shapley value
Which axioms did people violate?

- Efficiency was required by experiment interface
- Use statistical tests to check symmetry, null player, and additivity


## Testing Axioms: Symmetry

To satisfy symmetry, must give equal rewards to symmetric players

- Experiment 1 games had symmetric players
- Most people gave equal rewards - no significant differences

Symmetry:


## Testing Axioms: Null Player

To satisfy null player axiom, must give no reward to null players

- 4 games in Experiment 2 with null players
- Best case: 14 of 74 participants gave 0 reward

Null player: $X$

- Consistent with De Clippel [De Clippel et al. 2013]



## Testing Axioms: Additivity

To test additivity, need to know relationship between two games
Games from Experiment 2:

|  | Game |  |  |  |  |  |  |  | Shapley value |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
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| $f$ | 0 | 20 | 5 | 5 | 60 | 30 | 45 | 60 | 25 | 25 | 10 |

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|  | , | 1 | 2 | 3 | 12 | 13 | 23 | 123 | 1 | 2 | 3 |
| $f$ | 0 | 20 | 5 | 5 | 60 | 30 | 45 | 60 | 25 | 25 | 10 |
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| Condition | Game |  |  |  |  |  |  |  | Shapley value |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\emptyset$ | 1 | 2 | 3 | 12 | 13 | 23 | 123 | 1 | 2 | 3 |
| $f$ | 0 | 20 | 5 | 5 | 60 | 30 | 45 | 60 | 25 | 25 | 10 |
| $g$ |  | 25 | 10 | 10 |  |  |  |  |  |  |  |
| $g-f$ | 0 | 5 | 5 | 5 | 0 | 0 | 0 | 0 |  |  |  |

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| $g$ |  | 25 | 10 | 10 |  |  |  |  |  |  |  |
| $g-f$ | 0 | 5 | 5 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

To satisfy additivity, must give same rewards for these games

## Testing Axioms: Additivity

Found that people gave inconsistent rewards to players 1 and 3

- Significant in 1-Worse games ( $p<0.01$ )
- Marginally significant in 1-Better games ( $p=0.07$ and $p=0.08$ )

Additivity: X

- Conflicts with [De Clippel et al. 2013]


## Describing Human Reward Divisions

Models for people's reward divisions?

- Had little success fitting procedural values
- Heuristics similar to equal division payoff bounds [Selten 1987]
- Shapley value after applying non-linear utility function to coalition values
- Shapley value with weaker additivity axiom
- Stability concerns

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